

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 8131

NOTICE TO FILE MISSING  
PARTS OF APPLICATION

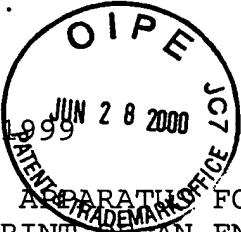
Application of

FILING DATE GRANTED

Eugene M. Betz, et al.

Serial No. 09/472,852

Filed: December 28, 1999



For: A METHOD OF AND APPARATUS FOR DOCUMENTING AN ELECTRONIC  
AND/OR PHYSICAL FOOTPRINT OF AN ENGAGEMENT PROCESS USED IN A DATA  
WAREHOUSE ENVIRONMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Application Division

Sir:

In response to the above-named Notice dated February  
11, 2000, relative to the above-named application, Applicants  
hereby submit signed formal application papers and an assignment  
for recording to complete the file and authorize the following  
fees from NCR Corporation Deposit Account No. 14-0225:

1. Basic Filing Fee	\$690.00
1. Surcharge for Incomplete Application	\$130.00
2. Additional claim fee	18.00
Total Charge	\$838.00

A copy of said Notice is included herewith.

Examination on the merits is respectfully requested.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service as first class mail in an envelope ad-  
dressed to the Assistant Commissioner for  
Patents, Washington, DC 20231, on

June 23 2000  
6-23-00 Doris Williams  
Date

Respectfully submitted,

James M. Stover  
James M. Stover  
Attorney for Applicant(s)

Dayton, Ohio  
(937) 445-7663



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sector 101  
403  
105

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
03/472,852	12/28/99	DELL	E 8131

0242/0211

JAMES M STOVER ESQ  
NCR CORPORATION  
101 W SCHANTZ AVENUE  
DAYTON OH 45479-0001



2768

DATE MAILED: 02/11/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 440.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 310 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Kim Duncan  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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